

RESOLUTION NO. 24-829

**A RESOLUTION OF THE BOARD OF DIRECTORS OF THE
GOLETA WEST SANITARY DISTRICT AMENDING THE BOARD POLICY
HANDBOOK BY ADDING A NEW SECTION 2, REVISING SECTION NUMBERS
ACCORDINGLY, AND MODIFYING SECTIONS 7 AND 11**

WHEREAS, the Board of Directors (“Board”) of Goleta West Sanitary District (“District”) desires to add a new Section 2, entitled “Information for Board Candidates,” to the Board Policy Handbook (“Handbook”), which will direct the General Manager / Superintendent to publish on the District’s website in election years pertinent information, substantially as shown in the Handbook, for those running for a seat on the Board;

WHEREAS, the “Information for Board Candidates” that is to be incorporated in the new Section 2 was approved by the Board at the July 2, 2024 and July 16, 2024 board meetings;

WHEREAS, the addition of a new Section 2 will cause the existing subsequent sections to be renumbered; and,

WHEREAS, the Board further desires to make certain clean-up changes to Sections 7 and 11.

NOW THEREFORE, the Board of Directors of the Goleta West Sanitary District does ordain as follows:

SECTION 1. *Addition of Section 2 to the Handbook and Renumbering.* The District hereby adds a new Section 2 to the Board Policy Handbook, as shown in the attached Exhibit A, incorporated herein by reference (strikeouts indicate deletions and underlined text indicates additions). The existing subsequent sections in the Handbook shall be renumbered accordingly.

SECTION 2. *Amendment to Section 7 of the Handbook.* The District hereby amends Section 7, to change the name of the “Public Relations Committee” to the “Communications Committee,” as shown in the attached Exhibit A.

SECTION 3. *Amendment to Section 11 of the Handbook.* The District hereby amends Section 11, Subsection 11.5, to clarify the permissible public commenting at regular and special board meetings, as shown in the attached Exhibit A.

PASSED AND ADOPTED by the Board of Directors of the Goleta West Sanitary District, Santa Barbara County, California at a regular meeting duly held on the 6th day of August 2024.

I HEREBY CERTIFY that the forgoing Resolution was adopted by the Board of Directors of the Goleta West Sanitary District, Santa Barbara County, California at a meeting thereof held on the 6th day of August 2024, by the following vote of the members thereof:

AYES: Geyer, Turenchalk, Thomas, Bearman, Lewis
NOES:
ABSTAIN:
ABSENT:

ATTEST: 
Brian McCarthy, Secretary


Eva Turenchalk, Board President

ATTACHMENT
Exhibit A





GOLETA WEST SANITARY DISTRICT
BOARD POLICY HANDBOOK

ADOPTED: January 21, 1997

REVISED: October 27, 1998
December 28, 1999
August 22, 2000
January 22, 2002
July 20, 2004
January 17, 2006
March 21, 2006
February 6, 2007
September 16, 2008
July 5, 2011
July 3, 2012
September 3, 2013
November 5, 2013
January 7, 2014
March 15, 2016
December 6, 2016
August 1, 2017
August 7, 2018
September 18, 2018
November 17, 2020
December 6, 2022
August 6, 2024

TABLE OF CONTENTS

SECTION 1. GENERAL EXPECTATIONS	3
SECTION 2. INFORMATION SHEET FOR CANDIDATES	5
SECTION 3. MEETING ATTENDANCE AND CONDUCT; VACANCIES.....	8
SECTION 4. BOARD MEMBER COMPENSATION AND REIMBURSEMENT OF EXPENSES.....	9
SECTION 5. EXPENSE REIMBURSEMENT.....	11
SECTION 6. BOARD OFFICERS.....	13
SECTION 7. COMMITTEES AND APPOINTMENTS	14
SECTION 8. BASIS OF AUTHORITY.....	16
SECTION 9. TRAINING AND EDUCATION	17
SECTION 10. BOARD MEETINGS	18
SECTION 11. BOARD MEETING AGENDA.....	19
SECTION 12. BOARD MEETING CONDUCT	20
SECTION 13. BOARD ACTIONS AND DECISIONS.....	21
SECTION 14. REVIEW OF ADMINISTRATIVE DECISIONS.....	22
SECTION 15. BOARD MEETING MINUTES.....	23
SECTION 16. CONFLICT OF INTEREST CODE	25
SECTION 17. PUBLIC COMPLAINTS.....	28
SECTION 18. GENERAL INFORMATION.....	29
SECTION 19. STATEMENTS OF POSITION ON PENDING LEGISLATION OR ADMINISTRATIVE ACTION	30
SECTION 20. PAPERLESS AGENDA AND TABLET USE POLICY.....	31
SECTION 21. DUTIES OF THE TREASURER AND AUTHORITY TO MAKE PAYMENTS AND TO TRANSFER AND WITHDRAW FUNDS.....	34
SECTION 22. PURCHASING OF EQUIPMENT AND SUPPLIES AND CONTRACTING FOR SERVICES.....	37
SECTION 23. DISPOSITION OF OBSOLETE PERSONAL PROPERTY	41

SECTION 1. GENERAL EXPECTATIONS

1.1 The Board of Directors of Goleta West Sanitary District is committed to providing excellence in legislative leadership that result in the provision of the highest quality of services to its constituents. In order to assist in the government of the behavior between and among the members of the Board of Directors, the following expectations should be implemented.

- 1.1.1 The opinions of each Director shall be treated with respect by other Directors.
- 1.1.2 Responsiveness and attentive listening and communication are encouraged.
- 1.1.3 The needs of the District's constituents are a top priority of the Board of Directors.
- 1.1.4 The primary responsibility of the Board of Directors is the formulation and evaluation of policy. Routine matters concerning the operational aspects of the District are delegated to professional staff members.
- 1.1.5 Directors should focus on issues and not personalities. The presentation of the opinions of others should be encouraged. Cliques and voting blocks based on personalities rather than issues should be avoided.
- 1.1.6 Differing viewpoints are healthy in the decision making process. Individuals have the right to disagree, but should try to not be disagreeable. Once the Board of Directors takes action, Directors should commit to supporting said action.
- 1.1.7 Directors should practice the following procedures:
 - a) In seeking clarification on informational items, Directors may directly approach professional staff members.
 - b) All complaints from residents and property owners of the District should be referred directly to the General Manager.
 - c) Concerns for safety or hazards should always be reported to the General Manager or to the District office. Emergency situations should be handled immediately by seeking appropriate assistance.
 - d) Policy-related concerns, especially those involving personnel, legal action, land acquisition and development, finances and programming, should be referred directly to the General Manager.

- 1.1.8 When approached by District personnel concerning specific District policy, Directors should direct inquiries to the appropriate staff supervisor. The chain of command should be followed.
- 1.2 The work of the District is a team effort. All individuals should collaborate.
- 1.3 Directors should always be courteous, responding to individual constituents in a positive manner and referring them to appropriate management personnel.
- 1.4 A working relationship with the General Manager wherein current issues, concerns and District projects can be discussed comfortably and openly is desirable.
- 1.5 Directors should bring issues to the attention of the Board as a whole.
- 1.6 Directors are responsible for monitoring the District's progress toward meeting its goals.

SECTION 2. INFORMATION SHEET FOR CANDIDATES

2.1 Every Election year, the General manager/Superintendent shall publish on the District's website an Information Sheet for Candidates which provided information on the District and expectations for Board members. A single hard copy of said Information Sheet shall also be made available at no cost to any individual who requests it, additional copies may be charged at the usual rate for copies of public records.

2.2 The Information shall be in a form substantially as shown in the template below Said template was reviewed and approved by the Board at its July 2, 2024 and July 16, 2024 meetings.

GOLETA WEST SANITARY DISTRICT **Information Sheet for Candidates**

District Background

Goleta West Sanitary District (referred to as the "District") was formed in 1954 under the Sanitary District Act of 1923 (California Health and Safety Code Sections 6400 et seq.). It provides wastewater collection and treatment services to over 6,000 residential and non-residential customers within the District's service area, which encompasses the western Goleta Valley and isle Vista. The District 's wastewater collection system consists of approximately 63 miles of sewer lines and 2pump stations, which convey customers' wastewater to the regional treatment plant at Goleta Sanitary District (GSD). Several other public agencies – including the City of Santa Barbara (Airport), County of Santa Barbara, and USCB – are also contractual users of the GSD treatment plant, and each has capacity rights in the plant for which they pay a pro rata share of capital improvement costs. Because the District has an approximately 41% capacity right in the GSD plant, it pays for roughly 41% of the capacity improvement costs for GSD's regional treatment plant.

Since 1963, the District has also provided award winning street sweeping services, and has maintained an industrial Waste Pretreatment Program to monitor and control the discharged waste of industrial users to the public sewer system. The Industrial Waste Pretreatment Program, required by the Federal Clean Water Act, ensures the continued effective operation of the collection system, treatment plant, and the protection of collection system workers.

The District operates under the leadership of a general Manager/Superintendent, and six staff, which includes four utility workers, and environmental compliance specialist and an office manager. General Counsel services are provided by an outside firm.

The District is an independent special district, meaning it functions separate and apart from any other local government (i.e., it is not part of the City of Goleta or County of Santa Barbara) and its Board is independently elected by District customers and acts with a relatively high degree of autonomy. As an enterprise agency, the District is funded primarily through fees paid by customers.

Board of Directors

The District is governed by a five member Board of Directors, each elected to a four-year term. District elections, which are consolidated with the statewide election, are held every two years in November on even numbered years. Terms are staggered, with two terms expiring in one even-numbered year, and three terms expiring the following even-numbered year. Two District terms will expire in 2024 and three expire in 2026.

The November 5, 2024 election will mark the first of two elections that will transition the District from an at-large to a by-district-election system. The seats to be filled will represent new Districts 2 and 4. The remaining board members will continue to represent the District at-large, until their seats are up for election in 2026, at which time they (if re-elected) or their successors will be elected to represent new Districts 1, 3, and 5.

Candidates must be a United States Citizen, at least 18 years of age and reside within the boundaries of the Goleta West Sanitary District. Candidates must also reside within the district that they will represent. Election procedures and deadlines may be obtained from the Santa Barbara County Elections Division located at 4440-A Calle Real, Santa Barbara, California 93110.

As public servants, Board members must file certain financial disclosure forms that are public records such as Fair Political Practices Commission Form 700. Officeholders and candidates for office must also complete a Form 470.

Board member Expectations: Board Meetings and Other Commitments

The primary responsibilities of the Board include the formulation and evaluation of District policy as well as legal and fiduciary oversight of the District and its mission. Staff, on the other hand, is responsible for implementing the Board's general direction. Expectations of Board members include the following:

- **Attend All Regular and Special Board Meetings.** Board Members are expected to attend all regular and special Board meetings unless there is a good cause for absence. The Board meets on the first Tuesday of each month, and the third Tuesday of odd-numbered months. Board meetings begin at 5:30 pm in the District office located at UCSB, Parking Lot 32. Occasionally, these regularly scheduled meetings may be cancelled, while other special meetings may be called, sometimes on days and times that are not Tuesday at 5:30 pm.
- **Participate in District Committee.** In addition to Board meetings, Board Members are expected to serve on one or more of the District's five standing committees, which meet regularly on an as-needed basis (Management Committee, Finance Committee, Public Relations Committee, Engineering Committee, and Personnel Committee). Board Members may also be asked to serve on ad hoc committees that are created from time-to-time, depending on the needs of the District and the Board. Committee assignments are generally, but not always, made based on a Board Member's subject matter expertise. Committees are composed of two Board Members, and review specialty subject matter and make appropriate recommendations to the entire Board. The current committee representative assignments are found [here](#).

- **Familiarity with District Business, Policies and Regulations.** As representatives responsible for making important decisions on behalf of the District's customers, such as ratemaking, Board Members should strive to be informed about the issues facing the District and be prepared to ask relevant questions of staff and other Board members and to provide pragmatic advice and comment. Board members should also be familiar with the District's various ordinances and resolutions, which are available here and serve as a tool for accountability, transparency, and communication with costumers. The bulk of the District's policies and regulations relate to providing and maintaining sewer facilities and service, as well as Board and employee rules.
- **Represent the District at Meetings of Other Local Public Agencies.** Board Members also act as a District representative at the meetings of other local agencies, with alternatives assigned to act as a fill-in when the designated representative cannot attend a meeting. At the District's Board meetings, Board Members must report out on matters of interest to te District that are discussed at te meetings of the other local agencies. The current District representative assignments are found here **[add link]**.
- **Attend Mandatory Trainings.** As public officials, State law mandates Board members receive 2 hours of ethics (AB- 1234) training no later then one year after their first day of service in public office, and biannually thereafter. The training encompasses laws relating to personal financial gain (e.g., prohibition on bribery, conflicts of interest when leaving office), perquisites of office (e.g., limitation on receiving gifts, honoraria ban), government transparency (e.g., Public Records Act), and fair process (e.g., common bias laws, competitive bidding requirements for public contracts). Board Members are also biannually required to attend a two-hour sexual harassment prevention training.
- **Comply with Applicable Laws and Standards.** Board members are expected to combat themselves to the highest ethical standards both in their capacity as office holders and personally. Various state laws, including Brown Act ("sunshine law") and Political Reform Act, are designed to ensure that Board Members act in the best interested of the District and not for personal gain. Board members should also review and be familiar with the Board Policy handbook, which can be found here **[add link]**.

SECTION 3. MEETING ATTENDANCE AND CONDUCT; VACANCIES

3.1 Each Director shall attend all meetings of the Board unless there is a good cause for absence.

3.2 A Director shall be prepared to discuss agenda items at Board meetings. Information may be requested from staff before meetings.

3.3 Directors shall defer to the Chairperson for conduct of meetings of the Board. All comments should be brief and confined to the matter being discussed by the Board.

3.4 Directors may request that the minutes for that meeting include brief comments pertinent to an agenda item (including, if desired, a position on abstention or dissenting vote).

3.5 Requests by individual Directors for information and/or research from the District staff should be made through the General Manager.

3.6 Under California Government Code section 1770, a vacancy occurs if, inter alia, any Director ceases to discharge the duty of his/her office for a period of three (3) consecutive months, except when prevented by sickness or when absent from the state with the permission required by law.

SECTION 4. BOARD MEMBER COMPENSATION AND REIMBURSEMENT OF EXPENSES

4.1 Background

The Board of Directors of the Goleta West Sanitary District recognizes that the individual Directors attend and participate in various meetings to properly perform their duties.

The following guidelines set forth the District's policy relative to payment of compensation and reimbursement of expenditures to the Directors for attendance at those meetings. The Board may deviate from these guidelines within applicable statutory limitations, for specific circumstances when deemed appropriate by the Board of Directors.

4.2 Board and Committee Meetings

Members of the Board of Directors shall receive compensation in accordance with this policy for attendance at regular and special meetings of the Board of Directors and any committee thereof. Directors shall receive no compensation at meetings in which they arrive ten (10) minutes (or more) late, unless advance notice of the late arrival has been provided by the Director.

4.3 Attendance at Other Meetings

4.3.1 Compensation. From time to time it is appropriate that one or more Directors attend a meeting sponsored by another public agency or organization at which matters that pertain to or may directly affect the District's operations will be discussed. The term "public agency" includes, but is not limited to, the County of Santa Barbara, the City of Santa Barbara, the City of Goleta, the Goleta Sanitary District, the Goleta Water District, Isla Vista Recreation and Park District, Santa Barbara Airport Commission, and the State and Regional Water Quality Control Board. A Director serving as an authorized District representative at such a meeting pursuant to Section 5.3 shall receive compensation in accordance with this policy.

4.3.2 Reimbursement. District Directors, in performing their duties, may elect to become involved in organizations or attend meetings that contribute to their effectiveness. "Organizations" and "meetings" include, but are not limited to, conferences and seminars, such as those sponsored by California Special Districts Association, California Association of Sanitation Agencies, University of California, CaLAFCO, etc.

4.4 A director shall be entitled to receive reimbursement of expenses from the District for attendance at the meetings referenced in this Section 3.3.2 provided (i) the director seeking reimbursement provides a report at a public meeting of the Board concerning the conference or meeting outlining the substance of the conference or meeting, and (ii) the Board has not voted to disallow reimbursement of expenditures related to that meeting.

Maximum compensation shall be as follows:

- 4.4.1 Daily – The maximum number of meetings per day for which a Director may receive compensation is one (1).
- 4.4.2 Monthly – The maximum number of meetings per month for which a Director may receive compensation is six (6).
- 4.4.3 Authorized Expenses – A Director shall be reimbursed for expenses incurred due to attendance at all authorized meetings, conferences and seminars.

4.5 Amount of Compensation; Record Keeping; Public Disclosure

Each Director shall be compensated per meeting at an amount that shall be established by the Board of Directors in a manner consistent with state statutes governing director compensation, including provisions of setting limits on increases in compensation.

No later than the 15th day of the month, each Director shall submit to the General Manager a list of meetings, conferences and seminars attended by each Director during the preceding month and for which the Director seeks compensation or reimbursement of expenditures. If a Director timely submits such a list, the General Manager is authorized to provide compensation and reimbursement of expenditures in a manner consistent with this policy. If a Director does not timely submit such a list by the 15th day of the month, no compensation shall be paid.

The District shall disclose on its website the appointment of any Director to a committee of the District in accordance with state law and regulations, including regulations of the Fair Political Practices Commission. In addition, while not required by law, the District shall also disclose on its website the appointment of any Director to serve as the District's regular representative at meetings of other public agencies, including the name of the Director who was so appointed, the name of any Director appointed as an alternate, the term of the appointment, and the compensation to be paid to the Director or alternate for that attendance.

SECTION 5. EXPENSE REIMBURSEMENT

5.1 Background

The Board of Directors has determined that it is in the best interest of the District for the Directors to participate from time to time in conferences, seminars and meetings related to the governance and activities of public agencies, particularly those responsible for wastewater collection, treatment and disposal. It is the policy of the District to reimburse its Directors and staff for travel, lodging and meal expenses incurred while on District business.

5.2 Registration Fees

Registration fees, charges for printed materials, and similar expenses related to an authorized conference, seminar, workshop or meetings are reimbursable expenses. Whenever possible, arrangements should be made to directly pay such registration fees and expenses in advance by the District.

5.3 Transportation Expenses

Reasonable transportation costs for a Director related to District-authorized travel is a reimbursable expense. Reimbursement for air transportation costs may not exceed the cost of coach class travel. The use of a private vehicle will be compensated at the then current Internal Revenue Service standard mileage rate for business use of a private automobile. Reasonable expenses for train travel, a rental car, taxi, or shuttle service will be reimbursed.

5.4 Lodging Expenses

Reasonable lodging costs for a Director or staff member related to District-authorized travel is a reimbursable expense, to the extent permitted by this policy. Reimbursement for lodging costs may not exceed the available government rate or group rate. If the government or group rate is not available at the time of booking, the person seeking reimbursement shall make reasonable efforts to use comparable lodging that does not exceed the government or group rate available. When a Director or staff member is authorized to travel on behalf of the District and an overnight stay is required, an attempt shall be made to have the District billed in advance for lodging expenses. No reimbursement will be made for extra services or entertainment, including but not limited to movie rentals or use of a hotel exercise facility, spa, room service, or mini-bar, except for a room service meal reimbursed under the provisions of Paragraph 4.5.

5.5 Meal Expenses

Directors shall be entitled to reimbursement of expenses for meals (including tips and excluding alcoholic beverages) while traveling or attending meetings on behalf of the District in an amount not to exceed the amounts established by the Board of Directors. The current meal expense reimbursement limit shall be one hundred dollars (\$100) per day.

The District will not reimburse any expenses for consumption of alcoholic beverages.

5.6 Requests for Reimbursement

All requests for reimbursement shall be made in writing on the District Reimbursement Request Form signed by the person requesting reimbursement within thirty (30) days of completion of travel and shall be accompanied by supporting receipts and records.

Travel costs for spouses or guests will not be reimbursed by the District.

Expense reimbursements shall be processed by the District's Staff in accordance with the provisions of this Policy.

In the event a person seeking reimbursement fails to either request reimbursement within thirty (30) days, or to include receipts or other documentation as required, Staff shall promptly contact the person with a description of the deficiencies. If said deficiencies are not corrected within fourteen (14) days, reimbursement shall be denied.

5.7 Meeting Reports

A Director attending a meeting for which the Director is compensated or receives reimbursement of expenses shall provide a brief report to the Board of Directors at the next regular Board meeting.

5.8 Public Records

All documents submitted to the District in connection with a request for reimbursement, including the District Reimbursement Request Form and supporting receipts and records, are public records under the California Public Records Act.

SECTION 6. BOARD OFFICERS

6.1 The officers of the District are as defined by the Sanitary District Act of 1923, which as of this writing defines “officers” as the five members of the Board.

6.2 At the first meeting in December of each year, the Board shall select one of its members to serve as President for the upcoming calendar year, and shall select one of its members to serve as Vice President for the upcoming calendar year, and shall select a qualified person, who may or may not be a member of the Board of Directors, to serve as Secretary for the upcoming calendar year. It is anticipated that a member who has completed a term as Vice President will be selected to serve as President in the subsequent year, unless the Board otherwise determines.

6.3 The President of the Board of Directors shall serve as chair at all Board meetings. The President shall have the same rights as the other members of the Board in voting, introducing motions, resolutions and ordinances and any other discussion of questions that follow such actions.

6.4 In the absence of the President, the Vice President of the Board of Directors shall preside over Board meetings. If the President and Vice President are both absent, the remaining members shall select a member to preside at that meeting.

SECTION 7. COMMITTEES AND APPOINTMENTS

7.1 The following shall be standing committees of the Board, except as the Board shall otherwise determine:

- a) Personnel Committee
- b) Finance Committee
- c) Communications Committee
- d) Engineering Committee
- e) Management Committee

7.2 The Board President shall appoint and publicly announce the members of the standing committees for the ensuing year at the Board's first regular meeting in January.

7.3 Each standing committee shall have the authority, on its own initiative, to review District functions, activities and/or operations within the scope of the committee's designated concerns as stated in Subsections 6.5, 6.6, 6.7, 6.8 and 6.9. In addition, a committee shall undertake such deliberations as may be assigned to the committee by the Board President or a majority vote of the Board. Any recommendations resulting from a committee's deliberations shall be submitted to the Board via a written or oral report.

7.4 Each standing and ad hoc committee shall have a chair appointed by the Board President. A committee chair shall set the agenda and schedule for each committee meeting.

7.5 The Board's standing Personnel Committee shall be concerned with the functions, activities operations, compensation and welfare of District staff and Board of Directors.

7.6 The Board's standing Finance Committee shall be concerned with the financial management of the District, including the development of a Financial Policy, preparation and review of an annual budget and major expenditures and management of the District's investment portfolio.

7.7 The Board's standing Communications Committee shall be concerned with the development and review of the District's newsletter and website prior to final approval by the Board of Directors and other District outreach efforts.

7.8 The Board's standing Engineering Committee shall be concerned with the maintenance, operation and repair of the collection system, pump stations, facilities, street sweeping operations, the regional treatment Plant, and IT infrastructure..

7.9 The Board's standing Management Committee shall be concerned with issues as they relate to the District's association with other agencies or entities such as Goleta Sanitary District, Goleta Water District, UC Santa Barbara, Isla Vista Recreation and Park District, County of Santa Barbara, City of Goleta or others.

7.10 The Board President shall appoint such ad hoc committees as may be deemed necessary or advisable by himself/herself and/or the Board. The duties of the ad hoc committees shall be outlined at the time of appointment and the committee shall be considered dissolved when its final report has been made.

7.11 The Board President may appoint Board Members to serve as representatives to other public agencies and similar entities.

7.12 The Board President may be appointed to a committee or to serve as representative to another public agency or similar entity, by majority vote of the Board. When considered for an appointment, the Board President shall not participate in any deliberations or vote on the appointment. The President shall recuse himself/herself and leave the room for the duration of the item.

SECTION 8. BASIS OF AUTHORITY

8.1 The Board of Directors is the unit of authority within the District. As individuals, Directors may not commit the District to any policy, act or expenditure.

8.2 Directors do not represent any fractional segment of the community, but are, rather, a part of the body which represents and acts for the community as a whole.

SECTION 9. TRAINING AND EDUCATION

9.1 It is the policy of the Goleta West Sanitary District to encourage Board development and excellence of performance by facilitating attendance at training and education courses and at local, state and national conferences associated with the interests of the District.

9.2 District administrative staff shall be responsible for making arrangements for travel, lodging and registration of Directors and staff attending state and national seminars, workshops and conferences.

9.3 Upon returning from seminars, workshops or conferences where expenses are reimbursed by the District, Directors will either prepare a written report for distribution to the Board or make a verbal report during the next regular meeting of the Board. Materials from the session(s) may be delivered to the District office to be included in the District library for the future use of District personnel.

SECTION 10. BOARD MEETINGS

10.1 Regular Meetings of the Board of Directors shall be held at 5:30 pm on the first Tuesday of each month and the third Tuesday of each odd-numbered month in the District office, UCSB Campus Lot 32, Santa Barbara, California, except as the Board of Directors may otherwise determine.

10.2 Special Meetings of the Board of Directors may be held (Government Code Section 54950 *et seq.*).

10.3 The President and the General Manager shall insure that appropriate information is available for the audience at meetings of the Board of Directors, and that physical facilities for said meetings are functional and appropriate.

SECTION 11. BOARD MEETING AGENDA

11.1 The General Manager, in cooperation with the Board President, shall prepare an agenda for each regular and special meeting of the Board of Directors. Any Director may contact the Board President or General Manager and request any item to be placed on the agenda of a regular meeting no later than 5:00 p.m. on the Wednesday prior to the regular meeting date, and the request shall be granted within a reasonable period of time

11.2 Ex-agenda items may be voted on by the Board of Directors and added to the agenda for discussion and action pursuant to the provisions of state law.

11.3 Any member of the public may request that a matter directly related to District business be placed on the agenda of a regularly scheduled meeting of the Board of Directors, subject to the following conditions:

11.3.1 The request shall be in writing and be submitted to the General Manager or Office Manager together with supporting documents and information, if any, at least five (5) business days prior to the date of the meeting.

11.3.2 The Board of Directors may place limitations on the total time to be devoted to a public request at any meeting and may limit the time allowed for any one person to speak on the issue.

11.4 Members of the public may request mailed or e-mailed copies of agendas for Board and Committee meetings. Pursuant to Government Code section 54954.1, such request shall expire on January 1 of each year unless the person making the request renews the request. The District may require any person requesting a mailed copy of the agenda to bear the costs of mailing.

11.5 The Board may allow public comment on any agendized matter at regular and special meetings in accordance with state law. Public comment on any matter within the Board's jurisdiction shall only be permitted at regular meetings.

SECTION 12. BOARD MEETING CONDUCT

12.1 Meetings of the Board of Directors shall be conducted by the President or other presiding officer in a manner consistent with the Rosenberg's Rules of Order (rev. 2011).

12.2 All Board meetings shall commence at the time stated on the agenda and shall be guided by same.

12.3 The public shall be entitled to attend and participate in open sessions to the extent authorized by state law and subject to the following:

12.3.1 No oral presentation shall include complaints against any District employee. Charges or complaints against employees shall be submitted in writing to the Board of Directors.

12.4 Willful disruption of any meeting of the Board of Directors shall not be permitted. If the President or presiding officer finds that there is willful disruption he/she may order the room cleared and the meeting terminated.

SECTION 13. BOARD ACTIONS AND DECISIONS

13.1 The Board of Directors shall take action by motion, resolution or ordinance.

13.2 Formal action can only be taken by the vote of the majority of the Board of Directors. Three (3) Directors represent a quorum for the conduct of business. A member abstaining in a vote is considered as absent for that vote.

13.3 The Board may give direction, which does not constitute formal action. Such direction does not require a motion and vote. Such direction may include instructions to the General Manager, continuances, requests and employee instructions.

SECTION 14. REVIEW OF ADMINISTRATIVE DECISIONS

14.1 Statute of Limitations; Procedures. The provisions of Section 1094.6 of the California Code of Civil Procedure, including but not limited to the provision therein imposing a ninety (90) day statute of limitations on certain decisions by the Board of Directors, shall be applicable to judicial review of all administrative decisions of the Board of Directors pursuant to the provisions of CCP Section 1094.5. The provisions of Section 1094.6 shall prevail over any conflicting provisions and any otherwise applicable law, rule, policy or regulation.

14.2 The purpose of this policy is to assist in the efficient administration of the District.

SECTION 15. BOARD MEETING MINUTES

15.1 The Secretary of the Board of Directors shall keep the minutes of all regular and special meetings of the Board.

15.1.1 Minutes shall be distributed to Directors with the agenda for the next regular Board meeting.

15.1.2 The original minutes of all meetings of the Board shall be kept in a fire-proof vault or in fire-resistant, locked cabinets for the period of time required by law.

15.2 A video or audio tape recording of any meeting of the Board of Directors, including closed sessions, shall be made if (i) requested by the Secretary, General Manager or any Director, and (ii) approved by three or more members of the Board.

15.2.1 The recordings, tapes, discs or other electronic data/information storage devices shall be kept in a fireproof, locked vault or in fire-resistant, locked cabinets for the period of time required by law.

15.3 Motions shall be recorded as having passed or failed and individual votes shall be recorded unless the action was unanimous.

15.4 Resolutions and/or ordinances shall be recorded as having passed or failed and individual votes shall be recorded.

15.4.1 All resolutions and ordinances adopted by the Board shall be numbered consecutively.

15.5 The minutes of the Board meeting shall be organized in the same manner as the agenda for that meeting and shall include the following information:

15.5.1 Procedures:

15.5.1.1 Date, place, and type of each meeting.

15.5.1.2 Directors present and absent.

15.5.1.3 Call to order.

15.5.1.4 Pre-adjournment departure of Directors by name, or if absence takes place when any agenda items are acted upon.

15.5.1.5 A record of all members of the public appearing before the Board.

15.5.1.6 Adjournment of the meeting.

15.5.1.7 Record of written notice of special meeting.

15.5.1.8 Record of items to be considered at special meetings.

15.5.2 Board activity:

15.5.2.1 Approval of the minutes or amended minutes of preceding meetings.

15.5.2.2 Brief Summary of each agenda item.

15.5.2.3 The record of the vote on each motion unless unanimous.

15.5.2.4 Action taken on each Board resolution and ordinance and the number assigned thereto by the Secretary.

15.5.2.5 A record of all contracts approved by the Board, including bids submitted to and awarded by the Board.

15.5.2.6 A summary of the General Manager's report to the Board including employment and resignations or terminations of employment within the District.

15.5.2.7 Adoption of the annual budget.

15.5.2.8 Approval of all policies and Board-adopted regulations.

SECTION 16. CONFLICT OF INTEREST CODE

16.1 The Political Reform Act, Government Code 81000 et seq., requires each local agency to adopt and promulgate a conflict of interest code. The Fair Political Practices Commission has adopted a regulation, 2 Cal. Code of Regs. Sec. 18730, which contains the terms of a standard conflict of interest code that may be adopted by a public agency by reference. Therefore, the terms of 2 Cal. Code of Regs. 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference and, along with the attached Appendix A in which members of the Board of Directors and employees are designated, and in which disclosure categories are set forth, constitute the conflict of interest code of the Goleta West Sanitary District.

16.2 Designated employees may file their statements of economic interest (Form 700) online, which will be submitted to the Santa Barbara County, Clerk, Recorder and Assessor. Statements will be made available for public inspection and reproduction (Gov't. Code Section 81108). Designated employees who file using a paper Form 700 shall file with the District Filing Official (the General Manager/Superintendent). Upon receipt of the statement, the Filing Official shall make and retain a copy and forward the original statement to the Santa Barbara County Clerk, Recorder and Assessor, mailing address: County Elections, PO Box 61510, Santa Barbara, CA 93160-1510.

APPENDIX A

PART I – DESIGNATED EMPLOYEES

Positions	Disclosure Categories
Members of the Board of Directors	1-5
General Manager/Superintendent	1-5
Consultants ¹	1-5
Utility Worker IV	1-5
Environmental Compliance Specialist	1-4
Office Manager	3

PART II – DISCLOSURE CATEGORIES

CATEGORY 1

Interests in real property which are located within the jurisdiction² of the District, including any leasehold, beneficial or ownership interest or option to acquire such interest in real property.

CATEGORY 2

Business positions or investments in or income from persons or business entities engaged in the appraisal, acquisition, or disposal of, real property within the jurisdiction of the District.

CATEGORY 3

Business positions or investments in business entities, or income from any sources, if the business entities or sources of income are of the type which might provide services, supplies, materials, machinery or equipment to or for the use of the District.

CATEGORY 4

¹ Consultants are considered to be designated employees. However, the General Manager may determine in writing that a particular consultant, although in a designated position, performs a limited range of duties and should not be required to fully comply with the disclosure requirements described in this section. Such written determination shall include a written description of the consultant's duties and, based upon that description, a statement of the extent of the disclosure requirements. The General Manager's determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code.

² Real property is located within the jurisdiction of District if any part of the property is located within or not more than two miles outside the boundaries of District or within two miles of any land owned or used by District. For all other purposes, the jurisdiction of District is equivalent to its geographical boundaries.

Business positions or investments in business entities and income from any sources, if the business entities or sources of income are of the type which are subject to the regulation or supervision of the District (including, but not limited to, the issuance or granting of franchises, building permits or other use or business permits or any other land use control or regulation).

CATEGORY 5

Business positions or investments in business entities or income from any sources, if:

- a) The business entities or sources of income have filed a claim, or have a claim pending against the District; and
- b) The designated employee's duties involve the handling or processing of such claim

SECTION 17. PUBLIC COMPLAINTS

17.1 The Board of Directors desires that public complaints be resolved at the lowest possible administrative level, and that the method for resolution of complaints be logical and systematic.

17.2 A public complaint is an allegation by a member of the public of a violation or misinterpretation of a District policy, state or federal statute that adversely affects the individual.

17.3 Complaints from the public shall be as follows:

17.3.1 The individual with a complaint shall first discuss the matter with the Office Manager with the objective of resolving the matter informally.

17.3.2 If the individual registering the complaint is not satisfied with the disposition of the complaint by the Office Manager, the complaint may be filed with the General Manager. Within a reasonable time, the General Manager shall meet with the person filing the complaint to resolve the matter. At the option of the General Manager, he/she may conduct conferences and take testimony or written documentation in the resolution of the complaint. A written decision from the General Manager may be requested by the individual filing the complaint.

17.3.3 If the individual filing the complaint is not satisfied with the disposition of the matter by the General Manager, a written complaint may be filed with the Board of Directors within ten (10) days of receiving the General Manager's decision. The Board may consider the matter at the next regular meeting, or call a special meeting. The Board will expeditiously resolve the matter. In making the final decision, the Board may conduct conferences, hear testimony, as well as utilize the transcripts of written documentation. A written decision from the Board may be requested by the individual filing the complaint.

17.4 This policy is not intended, and shall not be applied, to prohibit or discourage a member of the public or District employee from appearing before the Board in regard to actions of the Board or District programs and services.

SECTION 18. GENERAL INFORMATION

18.1 Industry Group Memberships. The District generally maintains memberships in the following groups: California Special Districts Association (CSDA) (both state and Santa Barbara chapters), California Association of Sanitation Agencies (CASA), California Water Environment Association (CWEA), and Southern California Alliance of Publicly Owned Treatment Works (SCAP). The Board of Directors may elect to enroll the District as a member in other groups and organizations.

SECTION 19. STATEMENTS OF POSITION ON PENDING LEGISLATION OR ADMINISTRATIVE ACTION

19.1 Background

The Board of Directors believes that the proper discharge of its duties includes an obligation to be informed of legislative and administrative activities at other levels of government that may affect the District's interests. From time to time, the District may wish to communicate its position on pending legislation or administrative action (on a county, regional, state or federal level). Such a statement of position may be requested by one of the organizations of which the District is a member (e.g., CASA, CSDA) or otherwise. The purpose of this protocol is to establish a procedure for the preparation and communication of that position.

19.2 General Procedure

The General Manager and General Counsel shall provide regular reports on pending legislative or administrative action to the Board of Directors. These reports should be provided on a quarterly basis to the Board's Management Committee, which shall review those reports and forward to the Board those items the Committee deems appropriate for the Board's review and consideration. The reports shall include, insofar as feasible, the positions taken by professional or industry organizations of which the District is a member (e.g., CASA, CSDA). The General Manager and General Counsel shall have the discretion to prepare and provide to the Board a proposed statement of position on any pending legislative or administrative action which, in their judgment, is appropriate under the circumstances.

19.3 Quick Action Procedure

From time to time, the District may be asked for a statement of position on a quick-response basis and with insufficient time for the Management Committee or Board of Directors to review and consider the matter. Under those circumstances, the President and General Manager shall have the authority to prepare and submit a statement of the District's position, provided that position is consistent with any position asserted by either CASA or CSDA. That statement shall be presented to the Management Committee and the Board at their next meetings. The President and General Manager shall also have the authority to convene a special meeting of the Board to consider the matter, if they deem it appropriate to do so.

SECTION 20. PAPERLESS AGENDA AND TABLET USE POLICY

20.1 General Statement

The Board of Directors (the “Board,” individually “Board Member”) for Goleta West Sanitary District (the “District”) finds that the use of paperless agenda packets will significantly reduce printing and delivery costs, save staff time, lessen the environmental effects of paper use and disposal, and allow Board Members and designated staff to more effectively perform their duties. The use of a tablet device will allow for electronic transmission of agenda materials to Board Members and eliminate the need for printed agenda packets.

20.2 Purpose

In order to protect the public’s trust and operate in an open and transparent manner, the use of Tablets must be in accordance with all laws and regulations applicable to public agencies, including the Brown Act, the California Public Records Act, and principles of due process. This Paperless Agenda and Tablet Use Policy (“Policy”) shall provide guidance as to how Tablets may be lawfully and effectively used to implement the District’s shift to paperless agendas.

20.3 Policies and Procedures

20.3.1 General Device Rules:

20.3.1.1 Issuance and Service: Each Board Member is eligible to receive a District-issued tablet device (“the Tablet”) with Wi-Fi connectivity for use during his or her term. During Board and Committee meetings, each Board Member will have access to the Internet through this Tablet via a District WiFi connection. The Board may authorize a District-funded data plan for use on the Tablets. If a Tablet User’s use of the Tablet results in an extra charge to the District, the Tablet User shall be responsible for reimbursing the District. This includes but is not limited to charges for text messaging, roaming charges, overage charges, and multimedia charges. The General Manager shall determine which employees, if any, are required to maintain a Tablet in conjunction with the performance of their job duties and responsibilities.

20.3.1.2 Responsibility for Tablet: Each Board Member or designated employee (“Tablet User”) shall be solely responsible for the Tablet when under his or her control and shall take all reasonable measures to protect the Tablet from loss, damage, theft, and unauthorized use. In the event a Tablet is lost or stolen, the Tablet User shall report the loss or theft to the General Manager immediately (ideally within 24 hours). In the event that malfunction or damage

necessitates the repair or replacement of a Tablet, the Tablet User shall contact the General Manager with a verbal or written description of the problem and deliver the Tablet to the General Manager. A Tablet User may be held responsible for excessive repair or replacement costs incurred by the District resulting from loss, theft, or damage. The District may periodically add or remove applications or software from the Tablet at its discretion.

20.3.1.3 Return: The Tablet User shall return the Tablet to the District prior to the Tablet User's last day of service. If a Tablet User repeatedly violates the terms of this Policy, the Tablet User may be required to return the Tablet to the District.

20.3.2 Acceptable Use: Tablet Users shall use Tablets solely for District business, including review of electronic Board agenda materials, researching relevant topics, obtaining useful information for District business, and conducting District-related communications as appropriate. Tablet Users shall not use Tablets for campaign activities, personal business, or any other personal use. Use of the Tablet shall be in accord with all local, state, and federal laws.

20.3.3 Privacy and District Access

20.3.3.1 District Property: The Tablet is property of the District. The District reserves the right to inspect any and all files stored on the Tablet. Tablet Users do not have any personal privacy right in any matter created, received, stored in, or sent from the Tablet.

20.3.3.2 California Public Records Act: All emails, files, notes, photographs, and other records created, received, stored in, or sent from a Tablet may be subject to disclosure under the California Public Records Act.

20.3.4 Usage During Board Meetings: A Board Member shall not use the Tablet in any manner that constitutes a violation of due process or the Brown Act. During Board meetings, Board Members shall refrain from using the Tablet to exchange information with each other or District staff about District issues or using the Internet to access information concerning a matter on the agenda for that meeting that was not made available in the agenda packet and in other materials distributed prior to the Board meeting. To avoid an appearance of impropriety, Board Members shall not use the Tablet to send any emails or text messages during Board meetings.

- 20.3.5 Security Precautions: A Tablet User shall not download files to the Tablet from sources which he or she has any reason to believe may be untrustworthy. Downloaded files and attachments may contain viruses or hostile applications that could damage the District's systems. Tablet Users shall make all necessary and reasonable efforts to protect the security of any confidential information which is placed in their control or care to avoid the likelihood of transmission of confidential information to unauthorized recipients.
- 20.3.6 District Liability: By providing a Tablet for District business, the District assumes no financial or legal obligations related to the Tablet User's use of the Tablet. The District does not accept responsibility for loss of any information or data stored on the Tablet.

SECTION 21. DUTIES OF THE TREASURER AND AUTHORITY TO MAKE PAYMENTS AND TO TRANSFER AND WITHDRAW FUNDS

21.1 General Statement

In order to enhance the effective functioning of the Goleta West Sanitary District (“District”), the Board of Directors (“Board”) has created a Treasurer position and authorized the Treasurer to exercise certain powers. The Board has also authorized the establishment of deposit accounts and allowed for the transfer of funds between, and withdrawal of funds from, those accounts. This section (“Policy”) shall govern the authority of the Treasurer, Board of Directors, General Manager and Office Manager as it relates to the transfer and withdrawal of funds and making of payments on the District’s behalf.

21.2 Treasurer

21.2.1 Treasurer Position: The District has established the position of District Treasurer with all of the powers and duties authorized by state law and this section.

21.2.2 Designation of Treasurer: The Chair of the District Finance Committee shall serve as the Treasurer. The second Director serving on the District Finance Committee shall serve as Treasurer during times that the Committee Chair is unavailable. In the event the District Finance Committee is composed of more than two Directors, the President shall designate the Director who shall serve as Treasurer during times that the Committee Chair is unavailable.

21.2.3 Treasurer’s Powers and Duties: Without limiting the generality of the foregoing, the Treasurer’s powers and duties shall include the following:

21.2.3.1 Oversight of all District accounting and bookkeeping.

21.2.3.2 Review of the District’s financial statements and investment reports at meetings of the District Finance Committee.

21.2.3.3 At least four times a year, receive unopened bank statements related to District accounts and review all cancelled checks therein, and provide a report to the Finance Committee of the Board.

21.3 General Manager

21.3.1 Authorized Payments: The General Manager is authorized to make payments for any of the following, provided the procedure in subsection 20.6 is followed:

- 21.3.1.1 Expenses related to a capital project approved by the Board.
- 21.3.1.2 Expenses related to a maintenance project approved by the Board.
- 21.3.1.3 Expenses related to services provided by the Goleta Sanitary District for operation of the wastewater treatment plant, services provided by District counsel or accountants/auditors pursuant to an agreement with the District, and insurance premiums.
- 21.3.1.4 Invoices received from utility providers (for example, water, gas, electric, telephone).
- 21.3.1.5 Invoices received from Santa Barbara County for accounting and auditing services and expenses related to District elections.
- 21.3.1.6 Payroll.
- 21.3.1.7 All invoices for supplies or services reflected in the Board-approved budget.

21.3.2 Duty to Report: The General Manager shall advise the Board of all contracts executed and payments made pursuant to Sections 20 or 21 as part of the operations report at the Board meeting following the execution of a contract or making of a payment.

21.3.3 Authorized Persons: For purposes of this subsection 20.3, the term “General Manager” shall include any person authorized in writing by the Board.

21.4 Bank Accounts

21.4.1 Deposit Accounts: The President and Treasurer of the District are hereby authorized to establish the following deposit accounts with Montecito Bank and Trust (“Bank”). The accounts shall be known as the “Money Market Account,” the “Operating Account,” and the “Payroll Account” and all accounts shall be subject to such terms and conditions as may be agreed between the Bank and the District.

21.4.2 Custodial and Investment Accounts: The President and Treasurer of the District are hereby authorized to establish one or more custodial or investment accounts.

21.5 Transfers of Funds

21.5.1 Transfers of Funds Between Accounts: Funds may be transferred among the authorized accounts within the same institution described in Section 20.4 with approval of the following persons:

21.5.1.1 General Manager and Office Manager, or

21.5.1.2 Treasurer or Assistant Treasurer

21.5.2 Inter-bank Transfers: The General Manager is authorized to transfer funds between financial institutions, with the Treasurer's approval.

21.6 Withdrawal of Funds

21.6.1 Authority to Withdraw Funds of \$25,000 or Less, or Otherwise Authorized: Funds may be withdrawn from the Operating Account on a check or draft of the Goleta West Sanitary District signed by any two of the following persons if the check or draft is either (i) twenty-five thousand dollars (\$25,000) or less, or (ii) within the General Manager's authority to make payments as set forth in Sections 20 and 21: the General Manager, the Office Manager, or any Director.

21.6.2 Authority to Withdraw Funds Outside General Manager's Authority: Funds may be withdrawn from the Operating Account on a check or draft of the Goleta West Sanitary District signed by (i) the General Manager or the Office Manager, and (ii) any one of the Directors, if the check or draft is outside the General Manager's authority to make payments as set forth in Sections 20 and 21.

21.6.3 Bank Authorized to Honor: The requirements of Section 20.6.1 and 20.6.2 herein for two signatures are for internal control purposes of the District. The Bank is hereby authorized to honor and pay any and all checks drafted and signed by a manager or director, including those drawn or endorsed to the individual order of any officer or other person authorized to sign the same.

21.6.4 Effectiveness of this Policy: The authority hereby conferred shall remain in force until written notice of the revocation hereof, signed by the officers of this District thereunto duly authorized by its governing body, shall have been accepted by an officer of Bank at the office at which such account is kept, and that the Certification of the Secretary of this District as to the signatures of the above-named persons shall be binding on the District.

SECTION 22. PURCHASING OF EQUIPMENT AND SUPPLIES AND CONTRACTING FOR SERVICES

22.1 General Statement

State law governing procurement practices of the Goleta West Sanitary District (“District”) gives discretion to the Board of Directors (“Board”) to establish policies and regulations for purchasing supplies, services and equipment. This purchasing and contracting policy (“Policy”) is adopted in order to establish efficient procedures for the purchase of supplies and equipment, to secure supplies and equipment at the lowest possible cost commensurate with quality needed, to exercise positive financial control over purchases and to define authority for the purchasing and contracting function.

22.2 General Manager Authority

- 22.2.1 **Personal Property:** The General Manager is authorized, in his or her sole and absolute discretion, to (i) purchase or lease (“purchase”) materials, supplies, furnishings, equipment and other personal property (hereafter “equipment and supplies”) and (ii) contract for the provision of services to the District, provided such purchases and contracts are consistent with California law and the policies, rules and regulations of the District.
- 22.2.2 **Equipment and Supply Contracts of \$25,000 or Less:** The General Manager is authorized, in his or her sole and absolute discretion, to purchase equipment and supplies in an amount not to exceed Twenty Five Thousand Dollars (\$25,000), provided the purchase is at or below the amounts contained within the Board-approved budget. The General Manager is authorized, in his or her sole and absolute discretion, to purchase equipment and supplies in amounts exceeding Twenty Five Thousand Dollars (\$25,000) following approval by the Board of Directors, and in compliance with the bidding procedures set forth in Section 21.3.
- 22.2.3 **Professional Service Contracts of \$25,000 or Less:** The General Manager is authorized to execute contracts for professional services in an amount not to exceed Twenty Five Thousand Dollars (\$25,000), provided the purchase is for a District purpose, and at or below the amounts contained within the Board-approved budget. The General Manager is authorized but not required to solicit and evaluate requests for proposals and statements of qualifications prior to entering into a contract for such services. The General Manager is authorized to execute contracts in amounts exceeding Twenty Five Thousand Dollars (\$25,000) following approval by the Board of Directors.
- 22.2.4 **General Manager Diligence:** The General Manager shall exercise diligence in consolidating and scheduling orders. No order or requisition shall be broken into smaller units to evade any requirement of this Policy, except

that unrelated items may be separated to provide different lists to vendors dealing in different commodity classes.

22.2.5 Authorized Persons: For purposes of this section, the term “General Manager” shall include any person authorized in writing by the Board.

22.3 Bidding Regulations for Supplies and Equipment

22.3.1 General Policy: All purchases of supplies or equipment shall be through the Bidding Procedures as provided herein, subject to the following exceptions:

22.3.1.1 Cooperative Purchasing: The General Manager elects, in his or her sole and absolute discretion, to purchase supplies or equipment through the National Joint Powers Alliance (NJPA).

22.3.1.2 Emergency: An emergency requires that an order be placed with the nearest available source of supply.

22.3.1.3 Single Source: The General Manager determines, in his or her sole and absolute discretion, that the required supplies or equipment are available only from a single source, in which case the General Manager shall document the basis for that determination and proceed without soliciting bids.

22.3.1.4 Purchases Not Exceeding \$25,000: The purchase price does not exceed \$25,000, in which case the General Manager shall obtain a price quotation from a vendor through any means appropriate prior to completing the purchase.

22.3.1.5 Supplies for a Public Work: The purchase of supplies is for a “District Project” subject to Public Contract Code section 20800 et seq.³

22.3.2 Bidding Procedures: Purchases of supplies and equipment greater than \$25,000 shall be through the following process:

22.3.2.1 Notice Contents: The General Manager shall prepare a notice (“Notice”) inviting bids. The Notice shall include a general description of the article to be purchased and shall state where bid blanks and specifications may be secured and the time and place for opening bids.

³ The Public Contract Code defines a “District Project” as “any construction, reconstruction, alteration, enlargement, renewal, or replacement of sewer facilities which the [D]istrict is authorized to do, including, but not limited to, the furnishing of supplies or materials for any such work.”

- 22.3.2.2 Notice Distribution: The General Manager shall solicit bids by either: (i) publishing the Notice once in a newspaper of general circulation, printed and published within the boundaries of the District, at least 7 calendar days before the opening of the bids; or (ii) mailing, faxing, or emailing the Notice to at least three vendors, in addition to any vendors who have requested in writing that they be notified.
- 22.3.2.3 Bid Contents: The General Manager shall require that all bids and proposals (i) be in writing and (ii) be sealed in an envelope labeled according to the instructions in the Notice.
- 22.3.2.4 Bid Opening: The General Manager shall publicly open the bids at the District Office at the time and place stated in the Notice. The General Manager shall tabulate the bids.
- 22.3.2.5 Bid Evaluation and Acceptance: The General Manager has sole and absolute discretion to reject any bid due to non-compliance with the procedures set forth herein, to waive any irregularity in a bid, or to reject all bids and re-advertise.
- 22.3.2.6 Bid Award: The contract shall be awarded to the responsive bidder who submits the bid presenting the best value to the District. The General Manager shall make a recommendation to the Board regarding the bid award and the Board shall award the contract.
- 22.3.2.7 Tie Bids: If two or more bids received are of the same amount and are better value than the other bids received, the Board may select any one of the tied bids or direct the General Manager to undertake negotiations with the bidders.
- 22.3.2.8 No Responsive Bids: If no bids are received from responsible bidders, or if all bids received are either too high or non-responsive, the Board may complete the contemplated purchase without further bidding procedures.
- 22.3.2.9 Best Value Determination: The Board has sole and absolute discretion to make a determination of best value, based on the following elements, in addition to price:
- 22.3.2.9.1 That the products offered provide the quality, fitness, and capacity for the required usage;

22.3.2.9.2 That the bidder has the ability and capacity to deliver the equipment or supplies within the time required; and

22.3.2.9.3 That the bidder's experience(s) regarding past purchases by the District or other public agencies demonstrates the reliability of the bidder to perform the contract.

22.4 Encumbrance of Funds

Except in case of an emergency, the General Manager may not issue a purchase order for supplies or equipment unless there exists an unencumbered appropriation in the fund account against which the purchase is to be charged.

22.5 Unauthorized Purchases

Any purchase made that is not in compliance with this Policy is void and not considered an obligation of the District.

22.6 Inspection and Testing

The General Manager shall have authority to inspect supplies and equipment delivered to determine their conformance with the specifications set forth in the order. The General Manager shall have authority to require chemical and physical tests of samples submitted with bids and samples of deliveries that are necessary to determine their quality and conformance with specifications.

22.7 Contracts for Professional Services

22.7.1 Definition: "Professional services" are services involving the provision of a report, study, plan, design, specification, document, program, advice, recommendation, analysis, review, opinion, inspection, investigation, audit, brokering or representation of the District before or in dealings with another party, or any other services which require a special skill or expertise of a professional, scientific or technical nature.

22.7.2 Requirements: All contracts for professional services shall be engaged on the basis of demonstrated competence and qualifications for the types of services to be performed and at fair and reasonable prices to the District.

SECTION 23. DISPOSITION OF OBSOLETE PERSONAL PROPERTY

23.1 Definitions.

23.1.1 “General Manager” means the General Manager of the Goleta West Sanitary District or his or her authorized representative.

23.1.2 “Obsolete Personal Property” means any and all equipment, materials, supplies, vehicles, fixtures and other personal Property of the District which is obsolete, worn-out, scrap, surplus, or no longer useful to the District.

23.2 Authority to Dispose of Obsolete Personal Property.

23.2.1 Obsolete Personal Property Valued at less than \$2,500. The General Manager is authorized to dispose of Obsolete Personal Property that the General Manager determined has a value of less than \$2,500.

23.2.2 Obsolete Personal Property Valued at \$2,500 or More. The Board of Directors is authorized to dispose of Obsolete Personal Property that has a value of \$2,500 or more.

23.3 Methods of Disposition of Obsolete Personal Property.

23.3.1 Abandonment, destruction or donation. Obsolete Personal Property which has no commercial value or of which the estimated cost of continued care, handling, maintenance or storage would exceed the estimated proceeds of sale, may be abandoned, destroyed or donated. The determination to dispose of Obsolete Personal Property of no commercial value must be made in writing signed by the General Manager and countersigned by the District’s President.

23.3.2 Formal Bidding. Obsolete Personal Property may be offered for sale by formal, sealed bidding, in the manner provided by law.

23.3.3 Informal Price Quotations. Obsolete Personal Property with a value of less than \$2,500 may be offered for sale by means of informal telephone price quotations, in the manner provided by law.

23.3.4 Public Auction. Obsolete Personal Property may be sold at auction. When appropriate, an experienced auctioneer should be used to conduct the sale and assist in preparation of the sale.

23.3.5 Trade-ins. Obsolete Personal Property may be traded-in for credit to a vendor of new goods, provided one of the following conditions is met:

- 23.3.5.1 The General Manager determines that the trade-in value is equal to or exceeds the value estimated to be obtained by an auction of the Obsolete Personal Property, or
 - 23.3.5.2 The General Manager determines that the trade-in value is equal to or exceeds the price offered by the lowest responsive and responsible bidder in the solicitation of bids.
- 23.3.6 Retail Sales. Obsolete Personal Property that has an estimated value of less than \$2,500 may be offered for sale to the public, either at advertised one- time sales, or by offering the personal property for sale in a joint venture with an established retail sales establishment, provided all of the following conditions are met:
- 23.3.6.1 The value and asking price for the goods are established at current market value of like goods in the market;
 - 23.3.6.2 The goods are offered for sale openly to the general public; and
 - 23.3.6.3 No licensed motor vehicle may be sold in this manner.
- 23.3.7 Transfer to a Public Agency or a Charitable, Civic or Non-profit Organization. The District may authorize the sale, lease or donation of Obsolete Personal Property to the federal government or one of its agencies; to the State of California; to any county, city, school district, special district; other governmental agency, or to any charitable, civic or non-profit organization. The price and terms of transfer must be established by the District, but may be made without advertisement for or receipt of bids.
- 23.4 Restrictions Applicable to Disposition of Personal Property
- 23.4.1 Government Grant Funds. Disposal of Obsolete Personal Property purchased with federal or state grant money is subject to the conditions of the grant.
 - 23.4.2 Conflicts of Interest. District officers, employees and agents may not be purchasers at any sale, nor may they obtain or receive any District-owned personal property by any other means, while serving in their official capacity.